

行為準則查驗聲明書

台新金融控股股份有限公司

台北市仁愛路四段 118 號
台灣, R.O.C.

經本公司依據台新金控 COC 完成查驗並
符合下列標準要求

台新金融控股股份有限公司
台新金控員工行為準則

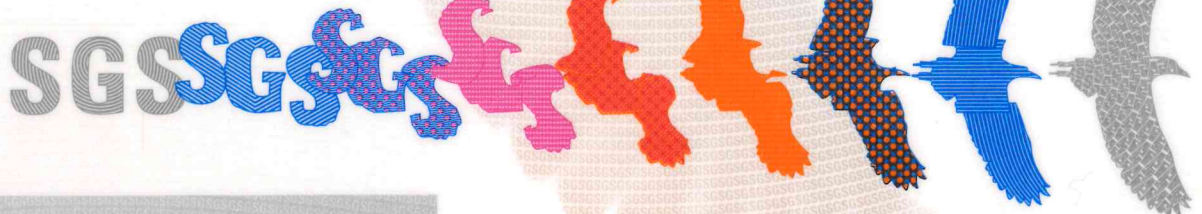
簽署人

鮑柏宇
管理與保證事業群副總經理

日期: 2024年05月29日

版次:1

TGP56A-15-6 2207
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查驗範圍

SGS 台灣對台新金控股份有限公司進行了獨立核查，確認台新金控股份有限公司_員工行為準則範圍之要求之符合性。

查驗流程與方法

SGS 台灣針對台新金控股份有限公司_員工行為準則驗證過程中，包括審查相關文件、訪談台新金控的管理階層人員以及員工代表。

結論

SGS 台灣確認驗證時所抽取的所有資料均準確無誤，符合台新金融控股股份有限公司道德規範的要求。

備註：本聲明書非用以解除客戶遵守組織章程、全國或者地方法令，以及任何被發佈國際指南章程之責任；客戶與 SGS 彼此為獨立之個體，客戶非受 SGS 約束，在此 SGS 除客戶之外毋須代表其面對其他組織團體。



Statement of COC conformity

The activities of
Taishin Financial Holding Co., Ltd.

No. 118, Sec. 4, Ren'ai Rd., Da'an Dist
Taipei, Taiwan, R.O.C.

has been verified by an independent review as
meeting the requirements of

Taishin Financial Holding Co., Ltd.
Employee Code of Conduct

Authorized by

Stephen Pao
Business Assurance Director
Date: 29 May 2024

SGS Taiwan Ltd.
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Scope and objective

SGS Taiwan Ltd. conducted an independent verification for Taishin Financial Holding Co., Ltd. The objective of the verification was to verify the conformity of Taishin Financial Holding Co., Ltd. Code of Ethics.

Verification process and methodology

Our verification process covering review of relevant documents, interview the workers and representatives of Taishin Financial Holding Co., Ltd. The data were verified for accuracy through examination of supporting information and crosschecking of accessible information.

Our opinion

It is the opinion of SGS Taiwan Ltd. that all data sampled during the verification were accurate and consistent with the Taishin Financial Holding Co., Ltd.. Code of Ethics requirement.

Note: This Statement does not relieve Client from compliance with any bylaws, federal, national or regional acts and regulations or with any guidelines issued pursuant to such regulations. Stipulations to the contrary are not binding on SGS and SGS shall have no responsibility vis-à-vis parties other than its Client.