Taishin Financial Holding Co., Ltd.
Supplier Management Regulations

December 26, 2014 Approved by the President
May 03, 2021 Approved and amended by the President
April 13, 2022 Approved and amended by the President
July 27, 2022 Approved and amended by the President

Article 1. Purpose

Taishin Financial Holding Co., Ltd. and its subsidiaries within Taiwan's borders (hereinafter referred to as "the Company") believes that corporate social responsibility is the foundation of sustainability of the Company. In addition to the establishment of Corporate Social Responsibility Best Practice Principles, Supplier Management Regulations (hereinafter referred to as "the Regulations") is established to ensure that suppliers comply with and fulfill corporate social responsibilities to the benefit of the stakeholders.

Article 2. Applicability

The Regulations applies to all suppliers of the Company.

Article 3. Localization

To prevent wastage of the resources due to excessive transportation, the Company shall give priority to local suppliers on case-by-case basis when selecting suppliers.

Article 4. Labor Assessment

When selecting suppliers, the Company shall evaluate the following labor issues:

I. Against child labor.

II. Against forced labor and human trafficking.

III. Compliance with regulations concerning working hours and remuneration (includes equal remuneration).

IV. Non-discrimination and anti-harassment.

V. Freedom of association and collective bargaining.

VI. Existence of rules related to health and safety.

Article 5. Impact Assessment

When selecting suppliers, the Company shall evaluate the impacts of the business activities from suppliers on the society and to the environment.

Article 6. Ethics Code

When selecting suppliers, the Company shall evaluate each supplier's ethical conducts, including but not limited to business integrity, fair trade, information transparency and avoidance of improper gains and false advertising, and compliance with rules related to intellectual property rights.

Article 7. Risk Management

When selecting suppliers, the Company shall evaluate each supplier's risk management policy, including but not limited to trade secrets, information security, confidentiality measures, product manufacturing, transport safety and crisis management.

Article 8. Announcement

Through the Supplier Principles and the Supplier Commitment Statement, the Company explains the determination of corporate social responsibility, environmental sustainability and the practice of human rights to suppliers.

Article 9. The Regulations shall take effect after the approval of the President of Taishin Financial Holdings; the same applies to all subsequent amendments.