



j. Items Concerning the Implementation of Internal Control System Which Should Be Disclosed

1. Internal Control Statement

To Financial Supervisory Commission:

March 23, 2017

On behalf of Taishin Financial Holding Co., Ltd.(the Company), we hereby declare that, for the fiscal year of 2016, the Company have established internal control system and implemented risk management system in accordance with the "Implementation Rules of Internal Audit and Internal Control System of Financial Holding Companies and Banking Industries". The system and practices were also inspected by the independent Audit Division which reported regularly to the Company's Board of Directors and Audit Committee. Following our prudent assessment, we concluded that the Company's internal control, legal and compliance practices for the fiscal year of 2016 were implemented effectively, except for the items listed on the attachment. This statement will constitute part of the Company's annual report and prospectus and will be publicly disclosed. We understand that we are legally bound to the "Securities and Exchange Act" Articles 20, 32, 171, and 174. of the Securities and Exchange Act.

Chairman



President



Chief Auditor



Compliance Officer

