

Taishin Financial Holdings

Responsibilities and Duties of Major Units

Chief Economist Office

- Provide analytical information on regional economies, industrial trend, as well as prospects of general global economy, exchange rate, interest rate, and the world's major stock markets and industries to Taishin Financial Holding Group, including the company and its subsidiaries.
- In response to the need of the board of directors and managerial units of the company and subsidiaries for decision making and business need, provide expert analysis, evaluation, and opinions, to assist with risk assessment and business promotion, in addition tracking the status and prospects of companies with public share offering in Taiwan and proposing industrial assessment and analytical report.

Chief Strategy Office

- In charge of formulating medium- and long-term development strategies for the financial holding company and subsidiaries.
- Track the execution of the existing strategies of the company and subsidiaries and analyze, assess, and evaluate its performance.

Chief Finance Office

- The Company's capital planning, analyzing and managing of capital adequacy ratio, financial and operational data, and assisting subsidiaries in the above matters.
- The Company's long-term investment planning, analysis and evaluation, implementation and management, and assisting, supervising and evaluating the above-mentioned matters for each subsidiary.
- Managing the liquidity risk and the exchange rate and interest rate risks.
- Managing the asset and liability structure and fund utilization.
- Providing assistance for subsidiaries in evaluating, analyzing, and overseeing operations, performance, and risks of wealth management products, in formulating business decisions regarding wealth management products, and in studies of regulations.

Chief Risk Office

- Planning and management of the management mechanism for credit risk, market risk, and operating risk.
- Disclosure of the risk-management execution and risk-exposure position.
- Planning and establishment of integrated risk-management platform.
- Overseeing planning of and managing and supervising execution of review, process management, and risk management for wealth management products by the Company and subsidiaries.

- Executing, tracking and managing each management indicator and resolution of the Financial Holding Asset & Liability Management Committee.
- Assisting the Company and its subsidiaries in formulating (amending) each management measure and indicator related to asset and liability management.

Chief Information Office

- Formulation of information policy.
- Application, integration, and proposal of new information technologies.
- Evaluation of major information investments

Chief Investment Office

- Planning and execution of the Company's liquidity risk, exchange rate, interest rate risk, and fund allocation, as well as supervision and management of subsidiaries.
- Planning, implementing, and managing the asset securitization services and overseeing and evaluating practices of the subsidiaries.
- Providing assistance for subsidiaries in planning, implementing, and managing short term securities, bonds, bills, funds and other investments and overseeing and evaluating practices of the subsidiaries.

Chief Information Security Office

- Planning and implementation of information security policies for the Company and subsidiaries.
- Planning and implementation of information security education and training for the Company, as well as relevant assistance for subsidiaries.

Human Resources Division

- Formulation, revision, organizational planning, and publication of the financial holding company's human-resources regulations and policy.
- Management of personnel recruitment, appointment, and performance evaluation.
- Employee compensations and welfare; the design, execution, and management of the communications channel with employees.
- Research, planning, revision, compilation, and execution of staff-training courses and materials.
- Formulation, execution, and management of human resources-related contracts and documents of the company and subsidiaries.

Administration Division

- Drafting, formulation, and execution of the regulations and system of the company's administrative and general affairs.
- Acceptance and sending of official documents.
- Evaluation and execution of the company's major general affairs, construction / improvement and procurement.
- Formulation, execution, and management of external contracts and documents of the company's administrative affairs.
- Assistance for subsidiaries in the planning and management of administrative services.

Legal & Compliance Division

- Legal affairs:
 - Assist the company and its subsidiaries in devising, formulating and implementing policies in line with laws.
 - Offer consultation on legal affairs concerning the company and its subsidiaries.
 - Assist in reviewing and supervising various contracts and correspondences used by the company and its subsidiaries.
- Compliance affairs:
 - Drafting, formulation, and execution of regulations and policy for compliance for the company and subsidiaries.
 - Study, transmission, inquiry, coordination, and communications for compliance affairs for the company and its subsidiaries.
 - Execution, supervision, and evaluation of compliance affairs for the company and subsidiaries.
- Anti-money laundering and counter terrorism affairs:
 - Formulating the anti-money laundering and counter terrorism financing system and policy, implement supervision, and assist its subsidiaries with such matters

Corporate Planning Division

- Planning and execution of major strategic projects.
- Coordination and communications with the competent authority.
- Business-related communications and coordination among various business groups and subsidiaries of the financial holding company.
- Formulation, execution, and management of general planning-related external contracts and documents for the company and subsidiaries.
- Planning, establishment, execution, and management of the organizations of the company and subsidiaries.
- Coordinating and supervising planning, execution, and management of corporate branding and public relations across the Company and subsidiaries.
- Handling the credit rating procedures and providing assistance for subsidiaries in completing the credit rating procedures.
- Handling investor relationship management and communications and building long term partnerships.
- Establishment of policies and provisions for cross selling across the Company and subsidiaries and related process planning and management.
- Communicating, coordinating and managing the strategies and relevant matters regarding the investment, use and management of the real estate for the Company and its subsidiaries.
- Planning, execution, and management of startup cooperation projects.
- Researching, planning and promoting new technologies and innovative applications.

Performance Management Division

- Performance management:

- Responsible for consolidating annual budgets for the Company and subsidiaries and setting and managing business goals every year.
- Analyzing progresses on budgets and goal completion by the Company and subsidiaries.
- Evaluating marketing proposals and reward programs for the Company and subsidiaries.
- Planning and managing the performance management information system (MIS) and the activity based costing/management system (ABC/M) for the Company and subsidiaries and report preparation.
- Designing and evaluating internal pricing rules based on the MIS system and the ABC/M system for the Company and subsidiaries.

■ Accounting management:

- Responsible for devising and managing accounting policies for the Company and subsidiaries.
- Studying and advising on accounting and fiscal issues.
- Compiling, analyzing and reporting financial information for the Company and subsidiaries.
- Handling accounting and tax related procedures and overseeing and evaluating practices of the subsidiaries.

■ Using balanced scorecards to track progress of strategy implementation at Taishin and its subsidiaries.

Digital Strategy and Innovation Department

- Planning, integrating and tracking digital transformation strategies for the Company and its subsidiaries.
- Planning, executing and managing major digital transformation projects for the Company and its subsidiaries

Data Strategy Department

- Data strategy planning, data analysis insight and application scenario expansion for the Company and its subsidiaries.
- Formulating policies, establishing operational mechanisms and supervising the implementation of data governance for the Company and its subsidiaries.
- Formulating information sharing system, planning and promoting the implementation of the sharing structure for the Company and its subsidiaries.
- Data warehousing, human resource capacity building and management for the Company and its subsidiaries.

Corporate Sustainability Office

- Coordinating the formulation of sustainable development policies, establishing strategic directions, as well as promoting and implementing various sustainable goals for the Company and its subsidiaries.
- Integrating domestic and international trends of sustainable development and the regulations of the competent authorities, as well as discussing annual sustainability projects and implementation plans.
- Tracking and reviewing the progress and effectiveness of sustainability implementation plans of the Company and its subsidiaries.
- Compiling and disclosing annual sustainability results, and preparing corporate sustainability reports.

- Coordinating and supervising corporate governance practices across the Company and subsidiaries